

Patent Attorney Docket No. 895,675-195

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 3736

NAIR et al.

Serial No. 10/758,477

Filed: January 14, 2004

For:

SYSTEM AND METHOD FOR

DETERMINING A TRANSFER

FUNCTION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

September 21, 2004

Date of Deposit IR1:1058253.1

Attorney Docket No. 895,675-195

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

Respectfully submitted,

O'MELVENY & MYERS LLP

Polaphat Veravanich

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Attorneys for Applicant

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

Complete if Known			
Application Number	10/758,477		
Filing Date	01/14/2004		
First Named Inventor	NAIR		
Art Unit	3736		
Examiner Name	Not yet assigned		
Attorney Docket Number	895,675-195		

	-		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A01	US- 4,228,804	10/21/1980	Holasek	
	A02		04/16/1985	Sumino	
-	A03		12/24/1985	Lizzi	
	A04	^{US-} 4,575,799 ↔	03/11/1986	Miwa	
	A05	^{US-} 4,858,124	08/15/1989	Lizzi	
	A06	^{US-} 5,235,984	08/17/1993	D'Sa	
	A07	^{US-} 5,363,850	11/15/1994	Soni	
	. A08	US- 5,417,215	05/23/1995	Evans	
	A09	^{US-} 5,445,155	08/29/1995	Sieben	
	A10	^{US-} 5,724,972	03/10/1998	Petrofsky	
	A11	^{US-} 5,876,343	03/02/99	Тео	
	A12	^{US-} 5,885,218	03/23/1999	Тео	
	A13	^{US-} 5,938,607	08/17/1999	Jago	
	A14	^{US-} 5,957,138	09/28/1999	Lin	
	A15	us- 6,050,946	04/18/2000	Teo	
	A16	us- 6,095,976	08/01/2000	Nachotomy	
_	A17	^{US-} 6,106,460	08/22/2000	Panescu	
	A18	^{US-} 6,106,465	08/22/2000	Napolitano	
-	A19	^{US-} 6,120,445	09/19/00	Grunwald	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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(Use as many sheets as necessary)

of 2

Sheet

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Application Number	10/758,477			
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Attorney Docket Number	805 675-105			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	400	Number-Kind Code ² (f known)	44/00/0000	Nachatami	· · · · · · · · · · · · · · · · · · ·
	A20	^{US-} 6,152,878	11/28/2000	Nachotomy	
·	A21	^{US-} 6,217,517	04/17/2001	Grunwald	
	A22		05/29/2001	Feleppa	
	A23		07/03/2001	Teo	
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	A25		10/23/2001	Coleman	
	A26	^{US-} 6,335,980	01/01/2002	Armato	•
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	A28	^{US-} 6,514,202	02/04/2003	Grunwald	
	A29	^{US-} 6,544,187	04/08/2003	Seward	
	A30	^{US-} 2001/0014774	08/16/2001	Grunwald	
	A31	^{US-} 2003/0028118	02/03/2003	Dupree	
_	A32	^{US-} 2003/0092993	05/15/2003	Grunwald	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ ⁶	
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Examiner	Date	
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